

Service Level Agreement (SLA)

SIMS FMS (A2)

By

Cantium Business Solutions Limited

Agreement Overview

This agreement represents a Service Level Agreement (“SLA” or “Agreement”) between Cantium Business Solutions Limited and Customer for the provisioning of an ICT Managed Service. It is designed to support and sustain the service throughout the duration of the contract.

This Agreement will continue throughout the duration of the contract, unless revised by Cantium Business Solutions through periodic review to ensure compliance with legal and commercial developments.

This Agreement outlines the parameters of all services covered, as understood by all parties and is accepted in accordance with Cantium Business Solution’s General Terms of Sale (which can be found at www.cantium.solutions).

Together with the Order and the General Terms of Sale, this Agreement provides a binding contract between both parties.

If it is found that there is an inconsistency between this Agreement and the General Terms of Sale, then detail as defined within this Agreement will take precedence.

Purpose & Objectives

The purpose and objectives of this Agreement are to:

- Define the service / product that the Customer is purchasing
- Provide clear statements as to service ownership, accountability, roles and/or responsibilities.
- Present a clear and concise description of service provision to the Customer.
- Describe the service parameters against which the service will be measured and reported.

Stakeholders

The primary stakeholders associated with this Agreement are:

- Service Provider: Cantium Business Solutions
- Customer: Customer (“Customer”)

Cantium Business Solutions reserve the right to support this contract through third party sources where appropriate. Such third parties employed by Cantium Business Solutions may be changed from time to time at their discretion.

Periodic Review

This agreement is valid for the term of the contract as outlined in the Order Form and will continue unless revised by Cantium Business Solutions to ensure compliance with legal and commercial developments throughout the duration of the contract.

Once amended the Agreement will be communicated to the primary stakeholders through publishing on Cantium Business Solution’s website.

Service Agreement

The following detailed service parameters are the responsibility of the Service Provider in the ongoing support of this Agreement.

Service To be provided

The following Services are covered by this Agreement:

- Cloud Hosting of SIMS Financial Management System (FMS)
- Access to the FMS application via the hosted environment
- Cantium will maintain existing services on the hosted network to facilitate access to FMS.
- All other changes will be chargeable

Or

- FMS on premise at the customer site
- Cantium will maintain existing services on the on-premise admin network to facilitate access to FMS.
- All other changes will be chargeable.

Service Management

<p>Service Desk</p>	<p>The provision of a Service Desk function providing an interface between ICT users of the Customer and the ICT department of Cantium. Contact channels will include telephone, web chat and Service Portal.</p> <p>The Service Desk will be available from 08:00 to 17:00, Monday to Friday excluding bank holidays.</p> <p>The Service Desk will manage incidents and requests (an incident is any unplanned interruption to service, such as an error preventing successful logon to a laptop. A request is classified as any call for information or advice, or for a standard change, or access to an IT service).</p> <p>Customers who log a call with the Service Desk will be informed of progress of requests for service throughout the lifecycle of the request. This will include:</p> <ul style="list-style-type: none"> • Providing Customers with resolution advice as appropriate • Verifying successful completion of requests for service with customers • Monitoring customer satisfaction on the Services as provided by the Service Desk • The Customer will be provided with an escalation path for incidents and requests in line with agreed service targets
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Incident Management	<p>An incident management function will be available. The purpose of incident management is to restore service operation as quickly and as efficiently as possible, minimising disruption to service. This includes:</p> <ul style="list-style-type: none"> • Pro-active detection and recording of incidents • Classification and initial support • Investigation and diagnosis • Resolution and recovery • Incident ownership, monitoring, tracking, and communication. <p>See the incident management table for full details.</p>
Problem Management	<p>A ‘problem’ is classified as the cause of one or more incidents. A problem management service will be available which aims to prevent problems and resulting incidents from occurring, eliminate recurring incidents, and to minimise the impact of incidents that cannot be prevented.</p> <p>This will include:</p> <ul style="list-style-type: none"> • Prevention and avoidance of problems • Trend identification and analysis <p>Facilitation, co-ordination and completion major problem reviews</p>
Change Management	<p>A change management capability enables changes to be made to the Hosted environment with minimised disruption to services.</p> <p>This will include:</p> <ul style="list-style-type: none"> • Raising and recording changes • Assessing the impact, cost, benefits, and risks of proposed changes • Ensuring the appropriate authorisation of change • Management and co-ordination of the scheduling of change • Monitoring and reporting on change activity • Closure and review of change requests
Release Management	<p>The release management service plans and co-ordinates the implementation of changes to the Hosted environment.</p> <p>This will include:</p> <ul style="list-style-type: none"> • Release design build and configuration • Release authorisation • Rollout/implementation planning • Deployment and reversion testing • Release and distribution of software and hardware as applicable

Hosted Services (if applicable)

Hosted Environment	Cantium will conduct: <ul style="list-style-type: none"> • Application Upgrades as required by the software provider • Patch management for FMS to resolve database issues as required • Environmental monitoring and maintenance of the hosted environment • Back-up and restores. • Preventative maintenance scheduling, co-ordination, and delivery • Access monitoring and control of the hosted environment • Enabling of printing in the hosted environment
Third Party Integration	Integration and the support of the integration of any third-party apps is included as required.

Technical Support (On Premise only)

Desktop Support	Support is provided for all end user devices and standard IT peripherals which conform to industry standards on the admin network. Support will typically include the following: <ul style="list-style-type: none"> • Workstation, laptop, printer configuration • Client operating systems - Windows 10 Pro or higher • MS Office 2013 and higher • MS Edge and Google Chrome
Admin Network Support	To diagnose issues affecting access to FMS, Cantium will troubleshoot issues with: <ul style="list-style-type: none"> • Wired Local Area Networks (LAN) • Network switches and routers • Wi-Fi access and support • Internet connectivity

Application Support

FMS Support	Full support for FMS module.
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Access by Cantium Business Solutions Staff:

It will be necessary for Cantium to hold a Cantium support user account with administrator access rights to the system being supported. This is to ensure that we offer quick and efficient diagnoses and support to your school. Passwords will be stored securely with access only to those Cantium staff who are working on your systems.

Support will be provided using remote access wherever possible.

On-site support includes diagnostic troubleshooting of supported software / hardware and will be provided where remote support is unable to resolve the issue and the issue has a significant impact for the customer.

Incident Management

For service incidents (service not available or service degradation) the following target response and target resolution times will apply, based on business impact:

Priority	Response Target	Description
P1	30 Business minutes	Complete loss of service
P2	1 Business Hour	An issue that results in a degradation/loss of service affecting over 50% of users.
P3	8 Business Hours	An issue that results in a degradation/loss of service affecting one user.
P4	5 Business Days	A non-service affecting issue

Service Requests

Customer requested copy of the FMS database within the hosted solution has a fulfilment target of 2 days.

This must be requested in writing from the Headteacher or Executive Head of an Academy. An email from the school headteacher email account would be acceptable.

All other requests will be processed by the Cantium Service Desk with a fulfilment target of 10 days.

Services Not Included (out of scope)

For the purposes of clarity, the following services are considered out of scope of this agreement and are available at additional cost:

- Application Support beyond FMS
- Accounting user support
- Application Support for any hosted integrated apps; only the integration is supported
- Running Statutory processes within FMS.
- Hardware and component replacements
- Project Management
- ICT training and user education
- Technical integration
- Installations, equipment moves, additions and changes
- Policy, process and standards
- IT Service Management consultancy
- External website hosting
- Security Investigations

Customer Responsibilities

Customer responsibilities and/or requirements in support of this Agreement include:

- Use the defined processes for raising incidents and service requests
- Respond to requests from Cantium staff, in relation to a current incident or service request
- Comply with security and policy requirements set by Cantium or its suppliers
- Nominate a lead contact with suitable authority to provide liaison between Cantium and the Customer for matters relating to the service
- Ensure Cantium are aware of any cyber-attack to the customer estate allowing Cantium to take necessary steps to protect other parties.
- Data Management in accordance with the customer data retention policy and General Data Protection Regulations (GDPR).

Service Provider Responsibilities

Service Provider responsibilities and/or requirements in support of this Agreement include:

- Cantium is responsible for maintenance and support of the hosted environment.
- Cantium will advise the Customer of any circumstances that may adversely affect the level of the service being provided
- When a service interruption happens, Cantium will respond in line with the documented incident management priority levels to restore the service, and will work as required with 3rd party suppliers to enable this to happen
- Cantium will, where appropriate, advise the customer of works attributable to a third-party supplier such as upgrades, notifiable defects and documentation. It is our usual policy to test FMS upgrades and new releases, passing all relevant information and advice to the customer.

General Data Protection Rules

Please refer to Annex A attached for data management rules applicable to this contractual agreement.

For the purposes of this agreement the following party will be responsible for adherence to the legislation referred in Annex A:

1. Data Controller: Customer
2. Data Processor: Cantium Business Solutions
3. Sub Processor: Education Software Solutions (ESS)

Service Feedback

Cantium Business Solutions endeavours to make its service the best that it can always be.

We therefore encourage and appreciate all feedback you may wish to present us with, both positive and negative.

Where possible, would aim to rectify any problems you incur to a level that meets both our high expectations, although we do recognise that on occasion may not be possible. Please be assured that your feedback will be taken seriously. Often, we will be able to resolve problems face to face or by telephone. If you feel that this is not possible then you can put your feedback in writing.

Please find the link to our Compliments and Complaints Policy which details how to do this and what you can expect from us:

[Compliments and Complaints Policy](#)

Should you wish to discuss any aspect of Cantium service, please use the following escalation path:

1. Service Delivery Manager
2. Head of Service Management
3. Head of ICT

Additional Services

Cantium Business Solutions offer a complete suite of business management tools. These solutions are based around improving your cost effectiveness and efficiencies within ICT, Finance and HR business sectors.

For further details on the services we provide, please visit our website:

www.cantium.solutions

Schedule of Processing, Personal Data and Data Subjects (Annex A)

1. The contact details of the Controller’s Data Protection Officer (or representative) are on the Customer Order Form.
2. The contact details of the Processor’s Data Protection Officer (or representative) are:
 Email: dataprotection@cantium.solutions
 Post: Data Protection Officer, Cantium Business Solutions, Worrall House, 30 Kings Hill Avenue, West Malling, ME19 4AE
3. The Processor shall comply with any further written instructions with respect to processing by the Controller.
4. Any such further instructions shall be incorporated into this Schedule.

Data processing details

Processing of the Protected Data by the Processor under the Contract shall be for the subject-matter, duration, nature and purposes and involve the types of personal data and categories of Data Subjects set out in this Schedule.

Description	Details
Identity of the Controller and Processor	The Parties acknowledge that for the purposes of the Data Protection Legislation, the Customer is the Controller and Cantium is the Processor as defined in the Contract
Subject matter of the processing	The processing is needed in order to ensure that the Processor can effectively provide the Services in accordance with the Contract
Duration of the processing	Processing will take place during the Term of the Agreement including any Extension Period.
Nature and purposes of the processing	<p>The nature of processing will include all operations required in the delivery of the service such as:</p> <ul style="list-style-type: none"> Backup and restore of hosted data Create/modify/delete user accounts. User data for support purposes Application data <p>The purpose of the processing is to fulfil the Processor’s obligations in delivering the Services in accordance with the Contract.</p>

Type of Personal Data being Processed	<p>As required to deliver the service. This may include:</p> <ul style="list-style-type: none"> • Name • Date of Birth • Ethnicity • Gender • Contact information (phone, email, address) • Employment Information • Online identifiers (IP address, cookie identifiers, location) • SEN Information • Behaviour • Assessment • Examination results • Medical conditions • Survey responses
Categories of Data Subject	<ul style="list-style-type: none"> • Employees of the Controller • Pupils • Parents & Guardians • Governors
Specific processing instructions for Sub-processor	<p>Sub-processors shall process the provided data under instruction from Cantium. Cantium do not authorise sub-processors to retain, share, store or use personally identifiable information for any secondary purpose.</p>
<p>Plan for return and destruction of the data once the processing is complete</p> <p>UNLESS requirement under union or member state law to preserve that type of data</p>	<p>In line with the contract, at the written direction of the Controller unless a copy is specifically required to be retained by the Processor for audit or compliance purposes in performance of its obligations for up to six (6) years, the Processor will delete / destroy or return Personal Data (and any copies of it) to the Controller on termination of the Contract unless the Processor is required by Law to retain the Personal Data.</p> <p>Should a copy of the data be required by the sub-processor for support purposes, once the reason for obtaining the data is complete, the data will be deleted from the server and confirmation of deletion will be obtained from the sub-processor.</p>

Sub-processors authorised

Cantium Business Solutions Ltd. utilise the following Sub-Processor(s):

- Education Software Solutions (ESS)

Technical and organisational security measures

The Supplier shall implement and maintain the following technical and organisational security measures to protect the Protected Data:

- 1.1 In accordance with the Data Protection Laws, taking into account the state of the art, the costs of implementation and the nature, scope, context and purposes of the processing of the Protected Data to be carried out under or in connection with the Contract, as well as the risks of varying likelihood and severity for the rights and freedoms of natural persons and the risks that are presented by the processing, especially from accidental or unlawful destruction, loss, alteration, unauthorised disclosure of, or access to the Protected Data transmitted, stored or otherwise processed, the Supplier shall implement appropriate technical and organisational security measures appropriate to the risk, including as appropriate those matters mentioned in Articles 32(1)(a) to 32(1)(d) (inclusive) of the GDPR.